

**INVENTEK**

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MAY 04 2005

**Patent Application Ser. No.:** 10/684,776**Ref./Docket No:** APPT-001-1-1**Applicant(s):** Dietz, et al.**Examiner.:** Moustafa M. Meky**Filing Date:** October 14, 2003**Art Unit:** 2157**FAX COVER PAGE****TO:** Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450United States Patent and Trademark Office  
(Examiner Moustafa M. Meky, Art Unit 2157)**Fax No.:** 703-872-9306**DATE:** May 4, 2005**FROM:** Dov Rosenfeld, Reg. No. 38687**RE:** Information Disclosure Statement*Number of pages including cover:* 11.**OFFICIAL COMMUNICATION****PLEASE URGENTLY DELIVER A COPY OF  
THIS IDS TO THE EXAMINER OF RECORD  
FOR THIS APPLICATION MOUSTAFA M.  
MEKY, ART UNIT 2157****Certificate of Facsimile Transmission under 37 CFR 1.8**I hereby certify that this response is being facsimile transmitted to the United States Patent and Trademark Office at telephone number 703-872-9306 addressed the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on.

Date:

May 4, 2005

Signed:

Name: Amy Drury

Our Docket/Ref. No.: APPT-001-1-1

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Dietz et al.

Serial No.: 10/684,776

Filed: October 14, 2003

Title: METHOD AND APPARATUS FOR  
MONITORING TRAFFIC IN A  
NETWORK

Group Art Unit: 2157

Examiner: Moustafa M. Meky

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MAY 04 2005Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450TRANSMITTAL: INFORMATION DISCLOSURE STATEMENT

Dear Commissioner:

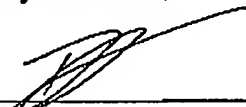
Transmitted herewith are:

- ☒ An Information Disclosure Statement for the above referenced patent application,  
together with PTO form 1449.
- ☐ A payment for petition fees.
- ☒ The commissioner is hereby authorized to charge payment of any missing fee associated  
with this communication or credit any overpayment to Deposit Account 50-0292.

A DUPLICATE OF THIS TRANSMITTAL IS ATTACHED

Date: May 4, 2005

Respectfully submitted,

  
Dov Rosenfeld  
Attorney/Agent for Applicant(s)  
Reg. No. 38687Correspondence Address:  
Dov Rosenfeld  
5507 College Avenue, Suite 2  
Oakland, CA 94618  
Telephone No.: 510-547-3378

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Name: Amy Dury

Our Docket/Ref. No.: APPT-001-1-1

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Dietz et al. Serial No.: 10/684,776 Filed: October 14, 2003 Title: METHOD AND APPARATUS FOR MONITORING TRAFFIC IN A NETWORK	Group Art Unit: 2157 Examiner: Moustafa M. Meky  <b>RECEIVED</b> <b>CENTRAL FAX CE</b>  MAY 04 2005
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL: INFORMATION DISCLOSURE STATEMENT

Dear Commissioner:

Transmitted herewith are:

- ☒ An Information Disclosure Statement for the above referenced patent application, together with PTO form 1449 and a copy of each reference cited in form 1449.
- ☐ A payment for petition fees.
- ☒ The commissioner is hereby authorized to charge payment of any missing fee associated with this communication or credit any overpayment to Deposit Account 50-0292.
- A DUPLICATE OF THIS TRANSMITTAL IS ATTACHED

Respectfully submitted,

Date: May 4, 2005

  
Dov Rosenfeld  
Attorney/Agent for Applicant(s)  
Reg. No. 38687

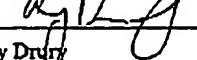
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Name: Amy Drury

Our Docket/Ref. No.: APPT-001-1-1

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Serial No.: 10/684,776

Filed: October 14, 2003

Title: METHOD AND APPARATUS FOR  
MONITORING TRAFFIC IN A  
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Group Art Unit: 2157

Examiner: Moustafa M. Meky

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MAY 04 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450INFORMATION DISCLOSURE STATEMENT

Dear Commissioner:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either a:  
☐ Certification under 37 CFR 1.97(e), or  
☒ a \$180.00 fee under 37 CFR 1.17(p)  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:  
☐ Certification under 37 CFR 1.97(e), and  
☐ a petition under 37 CFR 1.97(d)(2)(ii), and  
☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

05/05/2005 EK011 00000019 10684776

## Certificate of Facsimile Transmission under 37 CFR 1.1806

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I hereby certify that this response is being facsimile transmitted to the United States Patent and Trademark Office at telephone number 703-872-9306 addressed the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on.

Date:

May 4, 2005

Signed:

Name: Amy Drury

S/N: 10/684,776

Page 2

IDS

X Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.


It is expressly requested that the cited information be made of record in the application and appear among the "references cited" on any patent to issue therefrom.

The above-identified application is a continuation of prior U.S. Patent Application 09/608,237, filed June 30, 2000. This prior application is being relied upon for an earlier filing date under 35 U.S.C. § 120. Because the listed references were either cited by the PTO, or submitted to the PTO in this prior application, under 37 CFR § 1.98(d) Applicants submit that copies need not be provided.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Date: May 4, 2005

Respectfully submitted,

  
\_\_\_\_\_  
Dov Rosenfeld  
Attorney/Agent for Applicant(s)  
Reg. No. 38687

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5507 College Avenue, Suite 2  
Oakland, CA 94618  
Telephone No.: 510-547-3378

<b>INFORMATION DISCLOSURE STATEMENT</b>  <i>(Use several sheets if necessary)</i>				ATTY. DOCKET NO. APPT-001-1-1		SERIAL NO. 10/684,776	
				APPLICANT Dietz et al.			
				FILING DATE 14 Oct 2003		GROUP 2157	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	AA	4736320	Apr. 5, 1988	Bristol	364	300	Oct. 8, 1985
	AB	4891639	Jan. 2, 1990	Nakamura	340	825.500	Jun. 23, 1988
	AC	5101402	Mar. 31, 1992	Chui et al.	370	17	May 24, 1988
	AD	5247517	Sep. 21, 1993	Ross et al.	370	85.5	Sep. 2, 1992
	AE	5247693	Sep. 21, 1993	Bristol	395	800	Nov. 17, 1992
	AF	5315580	May 24, 1994	Phaal	370	13	Aug. 26, 1991
	AG	5339268	Aug. 16, 1994	Machida	365	49	Nov. 24, 1992
	AH	5351243	Sep. 27, 1994	Kalkunte et. al.	370	92	Dec. 27, 1991
	AI	5365514	Nov. 15, 1994	Hershey et al.	370	17	Mar. 1, 1993
	AJ	5375070	Dec. 20, 1994	Hershey et al.	364	550	Mar. 1, 1993
	AK	5394394	Feb. 28, 1995	Crowther et al.	370	60	Jun. 24, 1993
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUB-CLASS	TRANS- LATION YES   NO
	AL						
	AM						
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)							
	AN	"Technical Note: the Narus System," Downloaded April 29, 1999 from www.narus.com, Narus Corporation, Redwood City California.					
	AO						
EXAMINER					DATE CONSIDERED		
<small>*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to Applicant.</small>							

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FLING DATE IF APPROPRIATE
	BA	5414650	May 9, 1995	Hekhuis	364	715.02	Mar. 24, 1993
	BB	5430709	Jul. 4, 1995	Galloway	370	13	Jun. 17, 1992
	BC	5432776	Jul. 11, 1995	Harper	370	17	Sep. 30, 1993
	BD	5493689	Feb. 20, 1996	Waclawsky et al.	395	821	Mar. 1, 1993
	BE	5500855	Mar. 19, 1996	Hershey et al.	370	17	Jan. 26, 1994
	BF	5568471	Oct. 22, 1996	Hershey et al.	370	17	Sep. 6, 1995
	BG	5574875	Nov. 12, 1996	Stansfield et al.	395	403	Mar. 12, 1993
	BH	5586266	Dec. 17, 1996	Hershey et al.	395	200.11	Oct. 15, 1993
	BI	5606668	Feb. 25, 1997	Shwed	395	200.11	Dec. 15, 1993
	BJ	5608662	Mar. 4, 1997	Large et al.	364	724.01	Jan. 12, 1995
	BK	5634009	May 27, 1997	Iddon et al.	395	200.11	Oct. 27, 1995
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBLI-CATION DATE	COUNTRY	CLASS	SUB-CLASS	TRANS- LATION YES   NO
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	BM						
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	CA	5651002	Jul. 22, 1997	Van Seters et al.	370	392	Jul. 12, 1995
	CB	5684954	Nov. 4, 1997	Kaiserswerth et al.	395	200.2	Mar. 20, 1993
	CC	5732213	Mar. 24, 1998	Gessel et al.	395	200.11	Mar. 22, 1996
	CD	5740355	Apr. 14, 1998	Watanabe et al.	395	183.21	Jun. 4, 1996
	CE	5761424	Jun. 2, 1998	Adams et al.	395	200.47	Dec. 29, 1995
	CF	5764638	Jun. 9, 1998	Ketchum	370	401	Sep. 14, 1995
	CG	5781735	Jul. 14, 1998	Southard	395	200.54	Sep. 4, 1997
	CH	5784298	Jul. 21, 1998	Hershey et al.	364	557	Jul. 11, 1996
	CI	5787253	Jul. 28, 1998	McCreery et al.	395	200.61	May 28, 1996
	CJ	5805808	Sep. 8, 1998	Hansani et al.	395	200.2	Apr. 9, 1997
	CK	5812529	Sep. 22, 1998	Czarnik et al.	370	245	Nov. 12, 1996
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUB-CLASS	TRANS- LATION YES   NO
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	CN						
	CO						
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	DA	5819028	Oct. 6, 1998	Manghirmalani et al.	395	185.1	Apr. 16, 1997
	DB	5825774	Oct. 20, 1998	Ready et al.	370	401	Jul. 12, 1995
	DC	5835726	Nov. 10, 1998	Shwed et al.	395	200.59	Jun. 17, 1996
	DD	5838919	Nov. 17, 1998	Schwaller et al.	395	200.54	Sep. 10, 1996
	DE	5841895	Nov. 24, 1998	Huffman	382	155	Oct. 25, 1996
	DF	5850386	Dec. 15, 1998	Anderson et al.	370	241	Nov. 1, 1996
	DG	5,703,877	Dec. 30, 1997	Nuber et al.	370	395	Nov. 22, 1995
	DH	5862335	Jan. 19, 1999	Welch, Jr. et al.	395	200.54	Apr. 1, 1993
	DI	5878420	Mar. 2, 1999	de la Salle	707	10	Oct. 29, 1997
	DJ	5893155	Apr. 6, 1999	Cheriton	711	144	Dec. 3, 1996
	DK	5903754	May 11, 1999	Pearson	395	680	Nov. 14, 1997
FOREIGN PATENT DOCUMENTS							
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	EA	5414704	May 9, 1995	Spinney	370	60	Apr. 5, 1994
	EB	6014380	Jan 11, 2000	Hendel et al.	370	392	Jun. 30, 1997
	EC	5511215	Apr. 23, 1996	Terasaka et al.	395	800	Oct. 26, 1993
	ED	5,249,292	Sep. 28, 1993	Chiappa	395	650	Mar. 10, 1992
	EE	5,511,213	Apr. 23, 1996	Correa	395	800	May 8, 1992
	EF						
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	EH						
	EI						
	EJ						
	EK						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES   NO
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	EM						
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	EN						
	EO						
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